

General information about company	
Scrip Code*	516108
NSE Symbol	
MSEI Symbol	
ISIN	INE088G01014
Name of the listed entity	THE SOUTH INDIA PAPER MILLS LIMITED
Date of start of financial year	01-04-2020
Date of end of financial year	31-03-2021
Date of Report	28-06-2021
Whether any observations reported by the Secretarial Auditor	Yes
The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.	Yes
Any actions taken against the listed entity/its promoters/directors/its material subsidiaries either by SEBI or by stock exchanges (including under the Standard operating procedures issued by SEBI through various circulars).	Yes
Is there any observation made in the previous report	Yes
Name of the Certifying Firm	S N HITTAISH KUMAR
Name of the practicing Company Secretary issuing the report	S N HITTAISH KUMAR
Membership Type	FCS
ACS/FCS No.	6564
CP No.	6553
Place	MYSORE
Date	28-06-2021

Regulations			
Sr No	Regulation	Applicability during the period under review (Yes/No)	Any Observation (Yes/No)
1	Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	Yes	Yes
2	Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018	No	No
3	Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011	No	No
4	Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018	No	No
5	Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014	No	No
6	Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008	No	No
7	Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013	No	No
8	Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015	Yes	No
9	Specify any other regulation applicable to the Company		

Table I					
Sr No	Regulation Name/ SEBI Circular number	Regulation Number/ circular dated	Compliance requirement (regulations/circulars/ guidelines including specific clauses)	Deviations	Observation details
1	Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	Regulation 23(9) of SEBI (LODR) Regulations, 2015	Disclosure regarding Related Party Transactions on consolidated basis	Non Submission of Related party transactions on a consolidated basis	Non submission of Related Party Transactions on a consolidated basis

Table II				
Sr No	Action taken by	Details of violation	Details of action taken Eg fines, warning letter, debarment Etc	Observations/remarks of the practising Company Secretary, if any
1	Listing Compliance,BSE Limited vide letter/ email dated 18.01.2021	Reg 23(9) of SEBI (LODR) Regulations 2015 - Non compliance with disclosure of related party transactions on consolidated basis for the half year ended 30th September 2020	Fine	The listed entity has made an application on 30.01.2021 to Listing Compliance, BSE for waiver of fine after complying with the said Regulation. As per the application filed by the listed entity with the Listing Compliance, BSE, seeking waiver of fine no fresh Related Party transactions / contracts have been entered into during the half year ended 30th September 2020 and only remuneration paid to KMP's i.e wholetime Directors (MD), Non whole time Directors, Executives/ officers are as per existing resolutions / approvals/ policies. However listed entity has filed the necessary details of Related Party Transactions on consolidated basis for the half year ended 30th Sept 2020 with BSE on 30.01.2021 through BSE Listing portal.
2	Listing Compliance,BSE Limited vide letter/ email dated 20.08.2020	Regulation 17(1A) of SEBI (LODR) Regulations 2015 - Non compliance with requirements pertaining to appointment or continuation of Non executive Director who has attained the age of 75 years	Fine	The listed entity has ratified the re- appointment of Mr Dineshchandra C Patel (DIN 00167581) who has attained the age of 75 years by passing special resolution in the 61st Annual General Meeting of the listed entity held on 24th Sept 2020 in terms of Regulation 17(1A) of SEBI (LODR) Regulations, 2015. The listed entity has made an application on 03-09-2020 to Listing Compliance, BSE for waiver of fine.
3	Listing Compliance,BSE Limited vide letter/ email dated 20.08.2020	Regulation 20(2)/2A of SEBI (LODR) Regulations,2015 - Non compliance of amended regulations regarding re-constitution of Stakeholder relationship Committee	Fine	The listed entity has re constituted its Stakeholder Relationship Committee by appointing Mrs Girija Shankar (DIN 07148094) as a member of the Committee in terms of the amended Regulation 20(2A) of the SEBI (LODR) Regulations, 2015 at the Board meeting held on 30-07-2020. The listed entity has made an application on 03.09.2020 to Listing Compliance, BSE for waiver of fine, after complying with the said regulation

Table III				
Sr No	Regulation Name/ SEBI Circular number*	Observations of the practicing Company secretary in the previous reports	Observations made in the secretarial compliance report for the year ended. (the years are to be mentioned)	Actions taken by the listed entity, if any
1	Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	The listed entity has re- appointed Mr Dineshchandra C Patel as Director in its Annual General Meeting held on 20th Sept 2018 by way of ordinary resolution which was approved unanimously. The Listed entity contemplates to obtain requisite shareholder's approval pursuant to Regulation 17(1A) of SEBI (LODR) Regulations, 2015 in the ensuing Annual General Meeting to be held in Sept 2020	Secretarial Compliance report for the year ended 31st March 2020	The listed entity has ratified the re-appointment of Mr Dineshchandra C Patel (DIN 00167581) who has attained the age of 75 years by passing Special Resolution in the 61st Annual General Meeting of the listed entity held on 24th September 2020 in terms of the Regulation 17(1A) of the SEBI (LODR) Regulations 2015

